



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/092,579	03/08/2002	Shapiro Cohen	551P09US-1

CONFIRMATION NO. 1107

FORMALITIES LETTER



OC000000007826624

Shapiro Cohen
P.O. Box 3440
Station D
Ottawa, K1P 6P1
CANADA

Date Mailed: 04/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/12/2002 NH0AHM1 00000069 10092579

01 FC:105

130.00 OP



Receipt
#3 \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Our File No. : 551P09US-1
Applicant : Matthew Darwin et al
Serial No. : 10/092,579
Filed : March 8, 2002
Title : METHOD TO PROXY IP SERVICES
Art Unit : 2171

June 4, 2002

The Commissioner of Patents
and Trade Marks,
Washington, D.C., 20231
U.S.A.

Dear Sir:


REQUEST FOR CORRECTED FILING CERTIFICATE

Enclosed is a copy of the filing certificate for
the above-noted application.

The filing certificate incorrectly identifies
"Shapiro Cohen" as applicant, whereas the firm Shapiro Cohen
is the firm responsible for prosecuting this application.

It is requested that a corrected filing
certificate be issued correctly identifying the applicants
as set out in the declaration accompanying the application.

Respectfully submitted


Harold C. Baker
Registration 19333

HCB/at
Shapiro Cohen
P.O. Box 3440, Station D
Ottawa, ON, Canada, K1P 6P1



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/092,579	03/08/2002	2171	848	551P09US-1	5	26	1

CONFIRMATION NO. 1107

FILING RECEIPT



OC000000007826623

 Shapiro Cohen
 P.O. Box 3440
 Station D
 Ottawa, K1P 6P1
 CANADA

Date Mailed: 04/08/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Shapiro Cohen, Ottawa, CANADA; *2, delete*
 Matthew Darwin, Ottawa, CANADA;
 David Schenkel, Ottawa, CANADA;
 Dariush Eslimi, Ottawa, CANADA;
 Michael Slavitch, Ottawa, CANADA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/274,209 03/09/2001

Foreign Applications

If Required, Foreign Filing License Granted 04/08/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

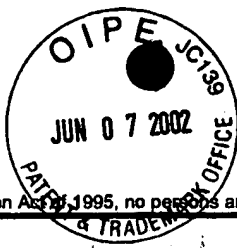
Non-Publication Request: No

Early Publication Request: No

Title

Method to proxy IP services

Preliminary Class



#3

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/092,579	
	Filing Date	03/08/2002	
	First Named Inventor	Matthew Darwin	
	Group Art Unit	2171	
	Examiner Name		
Total Number of Pages in This Submission	21	Attorney Docket Number	551P09US-1

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	request for corrected filing certificate
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	voluntary amendment
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	HAROLD C BAKER
Signature	
Date	June 4, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 			
Typed or printed name			
Signature		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Our File No. : 551P09US-1
Applicant : Matthew Darwin et al
Serial No. : 10/092,579
Filed : March 8, 2002
Title : METHOD TO PROXY IP SERVICES
Art Unit : 2171

June 4, 2002

The Commissioner of Patents
and Trade Marks,
Washington, D.C., 20231
U.S.A.

Attention: Initial Patent Examination Division

Dear Sir:

In reply to the Notice to File Missing Parts (copy attached) dated April 8, 2002 enclosed are the following:

- declaration and powers of attorney executed by the inventors
- surcharge fee of \$130.00

It is believed that all informalities have been completed.

A voluntary Amendment and request for corrected filing certificate is also attached.

Respectfully submitted

Harold C. Baker
Registration 19333

HCB/at
Shapiro Cohen
P.O. Box 3440, Station D
Ottawa, ON, Canada, K1P 6P1

JUN 07 2002

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete If Known

Application Number	10/092,579
Filing Date	03/08/2002
First Named Inventor	Matthew Darwin
Examiner Name	
Group Art Unit	2171
Attorney Docket No.	551P09US-1

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	16-0600
Deposit Account Name	Shapiro Cohen

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from below	Fee Paid
Independent Claims		-20** =		X	
Multiple Dependent		-3** =		X	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

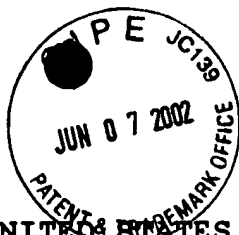
SUBTOTAL (3) (\$) 130.00

SUBMITTED BY

Name (Print/Type)	Harold C. Baker	Registration No. (Attorney/Agent)	19333	Telephone	613 232 5300
Signature		Date	06/04/2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Our File No. : 551P09US-1
Applicant : Matthew Darwin et al
Serial No. : 10/092,579
Filed : March 8, 2002
Title : METHOD TO PROXY IP SERVICES
Art Unit : 2171

June 4, 2002

The Commissioner of Patents
and Trade Marks,
Washington, D.C., 20231
U.S.A.

Dear Sir:

VOLUNTARY AMENDMENT

The present application claims priority from U.S. serial number 60/274,209. The drawings of the present application as filed were intended to be the same as those in the cited application with the text on the lower portion of the drawings removed. Unfortunately, when the drawings were prepared for filing the state transitions statement was removed from the bottom of the drawings.

We enclose new formal drawings which include the state transitions statement, but do not include the

description of the steps of the process as this has been included in the text of the description of the invention.

We respectfully request that the attached formal drawings of Figures 1-5 be substituted for those originally filed, which were informal and not professionally drafted.

Please enter the enclosed formal drawings in the application and confirm their acceptance.

Respectfully submitted

A handwritten signature in dark ink, appearing to read 'H.C. Baker', written in a cursive style.

Harold C. Baker
Registration 19333

HCB/at
SHAPIRO COHEN
P.O. Box 3440
Station D
Ottawa, Ontario
Canada, K1P 6P1